



Mayfair Unit Trust Fund

Corporate Application Form

Investment No.:

Invest, beyond time.

SECTION 1: INVESTOR TYPE DETAILS

A. Please select from the list below the investor type for whom services are to be provided:

- Non-Governmental Organization
- Partnership
- Trusts/Pension Schemes
- Government/State Corporations incl. County government, Parastatal, & Regulatory Bodies
- Company
- Sole Trader
- Unregistered/ Social Entities Services entities (e.g., Clubs, Charities, Churches, Associations, or Chamas)
- Non-Governmental Organizations (NGO)
- Other _____

B. Please select from the list below your industry/sector of operation:

- Financial Services
- Legal/Accounting
- Medical
- Agriculture/Manufacturing
- Education
- Arts & Entertainment
- Hospitality/ICT
- Government/Public Service
- Energy/Mining
- Construction/Real Estate
- Transport
- Other _____

C. Please select from the list below your regional residence:

- Kenya
- East Africa
- Africa
- Foreign

D. Foreign Account Tax Compliance Act (FATCA)

Is the organization a US based?

US citizens and residents include persons born in the US, or holders of a US Green Card, US residential address, US telephone number, standing order to a US Bank account, granted power of attorney, or have signatory authority to a person with a US address. If yes, complete the US Indicia Forms.

- Yes
- No

SECTION 2: EMPLOYER INFORMATION

Registered Name: _____ Trade Name: _____

Nature of business activity: _____ Contact Email: _____

Registration No: _____ Organization PIN No: _____

Tax Status: Exempt Non-Exempt Residence Status: Resident Non-Resident

Organization Tel: _____ Contact Person Mobile Tel: _____

Physical Address Confirmation

Building Name			
Street/Road Name			
Floor Number			
Postal Address	P.O. Box	Code	
	County	Country	

SECTION 3: MODE OF COMMUNICATION

A. Method of Contact

(Statements, contract notes, newsletters, etc.) Post (at a fee) E-mail SMS

B. Authorized Contact Person in Case of Emergency

Title: Mr. Mrs. Ms. Other (specify)

First Name: _____ Middle Name: _____ Surname: _____

Gender: F M ID/ Passport No.: _____ Nationality: _____

Mobile phone No.: _____ Email: _____

Relationship: Company Official Other (Specify): _____

SECTION 4: BANK ACCOUNT DETAILS

A. Product bank information

Account Name	Account Number	Branch Code	Swift code	Bank Name
Mayfair Money Market Fund Inflow KES A/C	0100012271411	1007	SBICKENX	Stanbic Bank
Mayfair Fixed Income Fund Inflow KES A/C	0100012271438	1007	SBICKENX	Stanbic Bank

B. Product Mpesa information

Fund Name	Account Number	Paybill
Mayfair Umbrella Provident Fund	Client's name/member number - M	

Cash payment to an intermediary, agent, or employee isn't allowed and is against Mayfair Asset Managers Limited policy.

C. Investor bank information

Please attach a copy of a certified recent bank statement /a recent copy of a certified cheque or ATM card as proof of bank account details provided herein.

I. Bank Transfer

Account Name: _____ Branch: _____

Bank Name: _____ Account No: _____

Type of account: Current Savings

II. Mpesa Transfer

I acknowledge that I choose to add the option of receiving and depositing payments through the following M-Pesa registered mobile phone number, and hereby confirm that I have read and understood section 10 concerning my payment instructions:

Mobile Phone Number: _____

Any changes to the bank/mobile number information provided above must be provided in writing with supporting evidence/documentation.

SECTION 5: UNIT TRUST FUNDS INVESTMENT DETAILS

A. MAYFAIR MONEY MARKET FUND

- Suitable for investors who seek low-risk, medium-interest investments. Offers capital stability and immediate liquidity.
- The fund invests in interest-bearing securities and other short-term money market instruments not exceeding 13 months.

Investment risk level	Low-risk investment offering medium yield, capital stability, and immediate liquidity
Recommended investment horizon	Short term

I/We fully understand the features and are ready to invest.

Amount to Invest _____ Currency: _____

Signature: _____

Payment method: Cheque Direct transfer Direct cash/cheque deposit M-pesa

B. MAYFAIR FIXED INCOME FUND

- Suitable for investors who seek regular income and those who intend to secure safety for their investments.
- The fund invests in fixed-income securities, including but not limited to treasury bills, treasury bonds, corporate bonds, and bank deposits.

Investment risk level	Low-risk investment offering high yield and income stability
Recommended investment horizon	Short to medium-term

I/We fully understand the fund's features and are ready to invest in the Mayfair Fixed Income Fund.

Amount to Invest _____ Currency: _____

Signature: _____

Payment method: Cheque Direct transfer Direct cash/cheque deposit Mpesa

SECTION 6: EMAIL/MOBILE INDEMNITY

Dear Investor(s),

I/we, _____ (full name), hereby request Mayfair Asset Managers Limited, ("the Fund Manager") to act upon my e-mail instructions ("Instructions"), as advised to the Fund Manager in writing from time to time, in regard to my/our investment account(s) with the Fund Manager, matters related to funds transfers to, from and within the Fund Manager, breaking of investments, rollovers and settlements of securities, believed by the Fund Manager to be issued by me if such instructions are sent from my/our email address as per records held with yourselves, irrespective of whether such instructions are genuine.

The Fund Manager may, however, at its sole discretion, decline to act upon the instructions unless and until confirmation has been obtained from me. I/we agree to indemnify the Fund Manager, its directors, officers, and employees against any loss, cost, damage, expenses, liability, or proceedings, which it/they may incur or suffer because of the Fund Manager or any such, director, officer, or employee acting upon or refraining from acting upon instructions.

I/we acknowledge that neither the Fund Manager nor its representatives shall be liable for any loss or damage caused as a result (including by reason of delay), of acting upon or refraining from acting upon instructions or in construing or processing such instructions in error, and the Fund Manager may debit any of my account(s) with any amount paid out pursuant to the receipt of instructions.

I/we undertake to confirm by letter, upon your request, all transactions have taken place from time to time pursuant to the instructions. The Fund Manager shall cease to be under obligation to comply with the instructions if the Fund Manager gives me written notice to such effect. Such notice shall be effective upon the lapse of twenty-four hours from the date of my receipt or seven days from the date of the notice, whichever is earlier.

Yours faithfully,

Signature: _____

SECTION 7: GENERAL TERMS and CONDITIONS

Unit trust:	A collective investment scheme in which investors' contributions are pooled together to purchase a portfolio of financial securities, such as equities (shares), bonds, cash, bank deposits, etc. The portfolio is managed by professional fund managers.	
Unit:	Means an undivided share in the collective investment scheme portfolio of a unit trust scheme. Client-invested amounts in a unit trust are used to purchase units. Each unit represents an equal fraction of the total value of the pool of invested money in the fund. The number of units allocated is calculated by dividing the amount you invest by the offer price at the time.	
Offer price:	Price at which units are purchased or switched in by a client.	
Bid Price:	Price at which units are redeemed or switched out by a client.	
Objectives to consider:	Investor risk profile. <ul style="list-style-type: none">• Investor time horizon for the investment.• Reason for investing, whether you require regular income from the investment or capital growth.	
Expected returns:	Returns depend on <ul style="list-style-type: none">• Returns from the financial markets; and• The type of assets within the unit trust portfolio.	
Fee structure	Initial Fee	Annual Management Fee
	0% pa	2.5% pa

SECTION 8: DECLARATION

1. The information provided in this application is true, correct, and accurate, and the money used for this investment does not arise out of the proceeds of any money laundering or other illicit activities.
2. I/We confirm that, where this information is signed in a representative capacity, I/we have the necessary authority to do so.
3. I/We hereby consent to Mayfair Asset Managers Limited verifying the information provided with others.
4. I/We confirm that this investment is not being made either directly or indirectly by or on behalf of any person restricted by the law of any relevant jurisdiction from investing.
5. Upon receipt, I/We shall review all statements and will notify Mayfair Asset Managers Limited immediately if there is a discrepancy.
6. I/We consent to the disclosure of this information for compliance purposes to regulators and government agencies.
7. All transaction charges on purchasing securities/ investing shall be borne by the Client and not the Fund Manager.
8. The client hereby agrees that the fund manager shall collect, use, transfer, and disclose his or her personal data in electronic or other form, in relation to the investment that you have agreed to make and or collected data in accordance with the Data Protection Act No. 24 of 2019 and Mayfair Asset Managers Limited data protection policy.

SECTION 9: SPECIAL INSTRUCTIONS

(You may include any withdrawal or communication instructions or restrictions e.g. religious or cultural restrictions.)

SECTION 10: SIGNING MANDATE

Authorized Signatories Details

<p>1st Signatory (Indicate the Applicable category)</p> <p> <input type="checkbox"/> Principal Officer Shareholder <input type="checkbox"/> Individual Capacity Authorized Person <input type="checkbox"/> Trustee/Director Partner </p> <p>Full Names: _____</p> <p>ID/Passport No: _____</p> <p>Date of Birth: <input type="text" value="D"/><input type="text" value="D"/><input type="text" value="M"/><input type="text" value="M"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/></p> <p>Mobile Telephone No.: _____</p> <p>Office Telephone No.: _____</p> <p>E-mail: _____</p> <p>Postal Address: _____ Code: _____</p> <p>Nationality: _____</p> <p>Country of Residence: _____</p> <p>Signature: _____</p> <p>Date: <input type="text" value="D"/><input type="text" value="D"/><input type="text" value="M"/><input type="text" value="M"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/></p> <p>Signing mandate: <input type="checkbox"/> all <input type="checkbox"/> Either <input type="checkbox"/> At least Two <input type="checkbox"/> One</p>	<p>2nd Signatory (Indicate the Applicable category)</p> <p> <input type="checkbox"/> Principal Officer Shareholder <input type="checkbox"/> Individual Capacity Authorized Person <input type="checkbox"/> Trustee/Director Partner </p> <p>Full Names: _____</p> <p>ID/Passport No: _____</p> <p>Date of Birth: <input type="text" value="D"/><input type="text" value="D"/><input type="text" value="M"/><input type="text" value="M"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/></p> <p>Mobile Telephone No.: _____</p> <p>Office Telephone No.: _____</p> <p>E-mail: _____</p> <p>Postal Address: _____ Code: _____</p> <p>Nationality: _____</p> <p>Country of Residence: _____</p> <p>Signature: _____</p> <p>Date: <input type="text" value="D"/><input type="text" value="D"/><input type="text" value="M"/><input type="text" value="M"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/></p> <p>Signing mandate: <input type="checkbox"/> all <input type="checkbox"/> Either <input type="checkbox"/> At least Two <input type="checkbox"/> One</p>
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SECTION 11: FOR OFFICIAL PURPOSES

KNOW YOUR CUSTOMER (KYC) CHECKLIST

Based on the investor type identified, obtain evidence of the relevant identification documents below and tick (✓) as appropriate.

A. PARTNERSHIP/ COMPANY

KYC Requirements		
	Intermediary Copies Obtained	Authorized Staff Document verified
Form of identification (select one)		
National I.D/Passport of the signatories	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Certificate of registration/business license	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
KRA PIN Certificate	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Canceled Cheque/Bank Statement not more than 3 months old	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Payment Evidence (cheque/Deposit slip/Transfer form /standing order)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

B. GOVERNMENT BODIES (State Corporations, Parastatals, Counties, Regulators)

KYC Requirements		
	Intermediary Copies Obtained	Authorized Staff Document verified
Form of identification (select one)		
National I.D, Passport of the authorized representative(s)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Canceled Cheque/Bank Statement not more than 3 months old	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Letter from the accounting officer authorizing transaction(s)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Payment Type (cheque/Deposit slip/Transfer form /standing order)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

C. TRUSTS/ NGOs

KYC Requirements		
	Intermediary Copies Obtained	Authorized Staff Document
Form of identification (select one)		
National I.D, Passport of at least 2 Trustees	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Certificate of registration/incorporation	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
KRA PIN Certificate	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Canceled Cheque/Bank Statement not more than 3 months old	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Payment Type (cheque/Deposit slip/Transfer form /standing order)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

Provide the following information regarding natural persons associated with the Trust:

- (i) Full names and details of the Trust's/NGO management company (if any)
- (ii) Names of the Trustees or relevant senior management personnel
- (iii) Full name(s) of the trustee, beneficiaries, or any person exercising ultimate control over the Trust's/NGO
- (iv) Full names of the founder of the Trust/NGO
- (v) Trust's/NGO structure of ownership & control

Intermediary

I confirm engaging with the client(s) and that all the required documents as per KYC checklist have been attached.

Full Names of Intermediary: _____ Intermediary

Code: _____

Email Address: _____ Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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AUTHORIZED MAYFAIR ASSET MANAGERS LIMITED STAFF

I certify that all the required documents as per KYC Checklist have been provided:

Full Names: _____ Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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Affix Compliance Stamp

MAYFAIR ASSET MANAGERS LIMITED

A Licensed fund manager by Capital Markets Authority

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